



**SECRETARIAL COMPLIANCE REPORT
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022**

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the Purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
JAGSONPAL FINANCE & LEASING LIMITED
D- 28, FIRST FLOOR, GREATER KAILASH ENCLAVE,
PART-1, NEW DELHI – 110048

CIN of Company: L65929DL1991PLC043182
Authorised Capital: Rs. 75,000,000/-

I have conducted the Secretarial Compliance Audit of the applicable SEBI (Securities and Exchange Board of India) Regulations and the circulars/ guidelines issued thereunder for the Financial Year ended **31st March, 2022** for **JAGSONPAL FINANCE & LEASING LIMITED** ("the Company"). The audit was conducted in a manner that provided me a reasonable basis for evaluating the statutory compliances and expressing my opinion thereon.

I have examined:

- a) The documents and records made available to us and explanation provided by the Company,
- b) The filings/ submissions made by the Company to the stock exchanges,
- c) website of the Company,
- d) other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31st March 2022** ("Review Period") in respect of compliance with the provisions of:
 - i. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - ii. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
 - iii. The following Regulations prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the circulars/guidelines issued thereunder, have been examined:
 - (a) The provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - (b) The provisions of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the listed entity during the Review Period)
 - (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 including the provisions with regard to disclosures and maintenance of records required under the said Regulations;

- (d) The provisions of Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the listed entity during the Review Period)
- (e) The provisions of Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the listed entity during the Review Period)
- (f) The provisions of Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the listed entity during the Review Period)
- (g) The provisions of Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the listed entity during the Review Period)
- (h) The provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 including the provisions with regard to disclosure and maintenance of records required under the said Regulations;
- (i) The provisions of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (j) The provisions of Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; (Not applicable to the listed entity during the Review Period)

Based on my examination and verification of the documents and records produced to me and according to the information and explanations given to me by the Company, I report that:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars /guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	No Deviation Noticed	No comments required as there found to be no deviation.
2.	Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015	No Deviation Noticed	No comments required as there found to be no deviation.
3.	Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018	No Deviation Noticed	No comments required as there found to be no deviation.
4.	There has been no activities which attracts provisions of Regulations mentioned in serial no – b,c,d,e,f,g & j		

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

P.K.S. & ASSOCIATES

COMPANY SECRETARIES



- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder :

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/remarks of the Practicing Company Secretary, if any.
	No such instance of non-compliance or default, hence no remedial actions were required to be taken			

- d) The listed entity has taken the following actions to comply with the observations made in previous reports :

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	No observation made. As no non-compliance found.			

NOTE: Due to Covid-19 pandemic situation, we have conducted online verification and examination of records, as facilitated by the Company for the purpose of issuing this report.

**For P.K.S. & Associates
Company Secretaries**

PRASANT
KUMAR
SARKAR

**Prasant Kumar Sarkar
(Proprietor)**

**FCS: 6996 CP: 6534
UDIN: F006996D000206338**

**Place: New Delhi
Date: 26.04.2022**